

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
10 July 2003 (10.07.2003)

PCT

(10) International Publication Number
WO 03/056019 A1

- (51) International Patent Classification⁷: C12N 15/63 (74) Agent: PHILLIPS ORMONDE & FITZPATRICK; 367 Collins Street, Melbourne, Victoria 3000 (AU).
- (21) International Application Number: PCT/AU02/01758 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (22) International Filing Date: 24 December 2002 (24.12.2002) (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
PR 9735 24 December 2001 (24.12.2001) AU
PS 2777 4 June 2002 (04.06.2002) AU
- (71) Applicant (*for all designated States except US*): ES CELL INTERNATIONAL PTE LTD [AU/AU]; 4th Floor, Baker Medical Research Institute, Commercial Road, Prahran, Victoria 3181 (AU).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): REUBINOFF, Benjamin, Eithan [IL/IL]; Hazamir Street 16, 90805 Mevaseret-Zion (IL).
- Published:
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

BEST AVAILABLE COPY

WO 03/056019 A1

(54) Title: METHOD OF TRANSDUCING ES CELLS

(57) Abstract: The present invention provides vectors and methods for transducing human embryonic stem cells. Also provided are cells that have been genetically altered using the vectors and methods, as well as a protein produced by a transduced cell. Methods for treating an animal having a deficiency in protein are also provided.

METHOD OF TRANSDUCING ES CELLS

FIELD OF THE INVENTION

The present invention relates to methods for genetically altering embryonic stem cells. The present invention also relates to cells and animals that have
5 been genetically altered using lentiviral vectors.

BACKGROUND OF THE INVENTION

The ability to introduce genetic modifications into cells via the genetic
10 manipulation of embryonic stem (ES) cells marks a major step in the basic research of the function of many genes of interest. A large proportion of research in this area has been directed to the genetic manipulation of mouse ES cells, since genetically manipulated mouse ES cells are used to alter the mouse genome and thus offer a direct approach to the understanding of gene
15 function in the intact animal. Genetic manipulation of human ES cells may be also invaluable for the study of gene function. It may be also useful for many other applications such as the development of models of diseases, generation of specific ES cell derived progeny, the use of human ES cells in gene therapy and others.

20 While mouse ES cells are amenable to genetic manipulation by a few approaches, it is known in the art that the biology of murine and human ES cells are quite different in many respects. Accordingly, methods that are efficient with mouse ES cells may be unsuitable for human pluripotent cells.

25 For example, the cytokine leukemia inhibitory factor (LIF) can support undifferentiated proliferation of mouse ES cells while it has no effect on human ES cells. The differences between human and mouse ES cells were further noted in attempts to provide delivery systems for the transfer and expression of
30 foreign DNA into ES cells using both viral and non-viral vectors. Non-viral gene delivery systems include electroporation, microinjection and cationic liposomes. These systems have a relatively low transfection efficiency and generally allow only transient expression of the transgene. While electroporation is the method

of choice for the transfection of mouse ES cells, it has been reported to be inefficient with human ES cells.

In contrast to non-viral delivery systems, viral vectors have proved to be a more efficient tool for gene transfer. Retroviral vectors, which infect only dividing cells, have been used for gene delivery. These vectors integrate into the host DNA and thus enable a stable transgene expression. However, such vectors have problems when used with ES cells due to complete or partial silencing of the transgene in mouse ES and EC cells. This gene silencing is thought to be mediated by *de novo* methylation of the integrated virus, and by trans-acting transcriptional repressors.

HIV-based lentiviral vectors that have been developed recently are a subgroup of retroviruses. Like retroviruses, the lentivirus vectors integrate into the host DNA. While they infect dividing cells, they can also infect nondividing cells. Lentiviral vectors have been shown to transduce various types of cells including neurons and hepatocytes, *in vivo* and *in vitro*.

A LIF dependent feeder free culture system, which is not available for human ES cells, was used to transduce mouse ES cells with the VSV-G lentiviral vector. Results from the Applicant's laboratory have indicated that the efficiency of genetic modification of human ES cells with a VSV-G lentiviral vector similar to the one that was used for the mouse system was low.

Lentiviral vectors have a further problem with regard to biosafety. There is a danger that the introduction of these vectors into an animal may result in the reconstitution of productive viral particles inside a recipient cell. In order to improve the biosafety of the lentiviral vectors several modifications have been introduced into them. First, six viral genes encoding probable virulence factors were deleted from the wild-type virus. Second, a three-plasmid vector system was constructed, separating the remaining genes into three different plasmids to prevent reconstitution of productive viral particles. Third, a self-inactivating (SIN) HIV-1 vector with a deletion in the viral LTR that abolishes its promoter activity has been constructed. The SIN vector can transduce neurons *in vivo* as

efficiently as a vector with full-length LTRs. Its efficiency with ES cells and its potential to induce stable non-silenced expression of transgenes in ES cells is unknown.

- 5 To date, the prior art has not disclosed an efficient method for genetically modifying a human ES cell using a lentivirus vector. The prior has also failed to disclose a method for efficiently genetically modifying a feeder layer that supports the undifferentiated propagation of human ES cells. It is therefore an object of the invention to overcome or alleviate a problem of the prior art by
- 10 providing methods for the stable genetic modification of ES cells using lentiviral vectors.

SUMMARY OF THE INVENTION

- 15 In one aspect the present invention provides a method for transducing a human embryonic stem cell, said method including exposing the embryonic stem cell to a lentiviral vector.

- In another aspect the present invention provides a method for treating an
- 20 animal having a deficiency in a protein, the method including the steps of :
- transducing a human ES cell according to the methods described herein,
 - engrafting the cell to the animal, and
 - allowing the cell to express the protein.

- 25 In another aspect the present invention provides an ES cell that has been genetically modified by a method as described herein.

- In another aspect the present invention provides a protein produced by a cell genetically modified by a method as described herein.

30

DESCRIPTION OF THE FIGURES

FIGURE 1 shows a schematic representation of the lentiviral vector construct pRLL.cPPT.hEF-1 α p.eGFP.WPRE.SIN-18.

FIGURE 2 shows FACS analysis of transduction of hES cells with 10x concentrated recombinant viruses. Transduced cells were analysed 7 days after transduction for fluorescence intensity and compared to control cells. The level of spontaneous differentiation among the transduced and control human ES cells was determined by the analysis of the proportion of cells that were immunoreactive with the monoclonal antibody GCTM2 (an antibody that reacts with the TRA-1-60 ES cell-surface marker). The upper right and the lower right quadrants represent the nondifferentiated and differentiated transduced hES cells. (A) Non transduced hES cells; (B) hES cells transduced with pRLL.hEF-1 α .eGFP.WPRE.SIN-18; (C) hES cells transduced with pRLL.cPPT.hEF-1 α .eGFP.WPRE.SIN-18.

FIGURE 3 shows FACS analysis of human ES cells 45 days (5 passages) after transduction with the lentiviral vector pRLL.cPPT.hEF-1 α .eGFP.WPRE.SIN-18. FACS analysis and determination of the level of spontaneous differentiation were performed as described in figure 2.

FIGURE 4 shows a human ES cell colony 6 days after passage. The cells were transduced 4 weeks earlier. (scale bar 100 μ m).

FIGURE 5 shows an intense expression of the transgene within an embryoid body 3 weeks and 5 days after its formation (scale bar 100 μ m).

FIGURE 6 shows phase contrast appearance and fluorescence microscopy analysis of eGFP expression in a neural progenitor sphere derived from hES cells transduced with pRLL.cPPT.hEF-1 α .eGFP.WPRE.SIN-18. A sphere cultured in serum free medium supplemented with bFGF and EGF (A, B) and 9 days after plating onto an adhesive surface and culture in the absence of growth factors (C, D). Differentiating cells that are emanating from the sphere (C) are expressing the transgene (D). Scale bar 100 μ m.

FIGURE 7 shows coexpression of the transgene and neural markers demonstrated by indirect immunofluorescence staining. Neural progenitors, 12 hours after disaggregating of spheres and plating on adhesive substrate coexpressing eGFP and nestin (raw A), N-CAM (raw B), and A2B5 (raw C).

- 5 Cells which displayed the neuronal marker b-tubulin III and coexpressed eGFP were demonstrated three days after plating of neural progenitors on adhesive substrate and culture in the absence of growth factors (raw D).

The left column of images shows phase contrast micrographs of the cells. The next columns show green and red fluorescence images of the cells respectively.

- 10 Overlay of the green and red fluorescence images is demonstrated in the right column.

FIGURE 8 shows FACS analysis of human ES cells 7 days after transduction performed in KnockOut medium supplemented with KnockOut Serum Replacer with the lentiviral vector pRLL.cPPT.hEF-1 α p.eGFP.WPRE.SIN-18. FACS analysis and determination of the level of spontaneous differentiation were performed as described in figure 2.

- FIGURE 9 FACS analysis of the transduced cells that were maintained for 36 weeks in culture. FACS analysis and determination of the level of spontaneous differentiation were performed as described in figure 2.

- FIGURE 10 shows Southern blot analysis that was carried out to assess the integration status of the transduced hES cells. Left panel: Genomic DNA was prepared from nontransduced (lane 1) and transduced (lane 2) hES cells and served as a template for Alu PCR amplification with the Alu sequence primer and the viral WPRE primer. For quantification of the number of copies of integrated viral DNA, the amplified products were subjected to Southern blot hybridization with WPRE probe. Right panel: Genomic DNA that was prepared from nontransduced (lanes 1, 3) and transduced (lanes 2, 4) hES cells was digested with EcoRV (cleaves viral DNA once, therefore detects integrated virus) (lanes 1, 2), or with EcoRV and EcoRI (cleave viral DNA on both sides of the eGFP, therefore detect a 1.56 kb internal viral fragment shared by integrated or nonintegrated virus) (lanes 3, 4). The digested DNA was subjected

to Southern blot hybridization with eGFP probe. Schematic presentations of the integrated viral DNA and the position of the probes are shown on top.

FIGURE 11 shows FACS analyses of transduced hES grown in culture for 1, 2,
5 3 and 4 weeks without passaging.

FIGURE 12 shows coexpression of the transgene and mesodermal (muscle
actin (A), muscle desmin (B)) and endodermal (laminin (C), low molecular
weight cytokeratin (D)) markers by cells from disaggregated EBs, Merged
10 images of indirect immunofluorescence staining of the markers (red) and direct
eGFP fluorescence (green).

FIGURE 13 shows coexpression of the transgene and neural markers. Four
weeks old neural spheres generated from transduced hES cells were
15 disaggregated plated and immunostained for markers of primitive
neuroectoderm [nestin (B), PSA-NCAM (C), and A2B5 (D)]. (E-I) The
transduced neural progenitors were induced to differentiate by plating on an
appropriate substrate and removal of mitogens. Expression of markers of
neurons [b-tubulin III (E)], mature neurons [MAP 2a,b, (F) glutamate (G), NF-
20 160 (H)], and astrocytes [GFAP (I)] was examined. Merged images of indirect
immunofluorescence staining of the markers (red) and direct eGFP
fluorescence (green).

FIGURE 14 shows eGFP expression within teratomas produced from the
25 engraftment of transduced cells in the testis of SCID mice. H&E sections of
Cartilage (A) and glandular structures (C,F) within the teratomas. The cells
within these structures are expressing eGFP as demonstrated directly in the
fluorescent images (B) and (D) or following fluorescent immunostaining with anti
eGFP in (F).

30

DETAILED DESCRIPTION OF THE INVENTION

In one aspect the present invention provides a method for transducing a human
embryonic stem cell, said method including exposing the embryonic stem cell to
a lentiviral vector.

The methods described herein have been discovered to be powerful and efficient tools useful in the transduction of human ES cells.

5 While the use of lentiviral vectors is known in the art for the transduction of cells, successful transducing of human ES cells by using these vectors was not reported. As used herein the term "transducing" refers to the process of genetically altering a host cell using a non-replicative virus-based vector system. Stem cells of all species have a unique biology, being very different
10 from other cells in the body. Stem cells are undifferentiated cells which can give rise to a succession of mature functional cells. For example, a hematopoietic stem cell may give rise to any of the different types of terminally differentiated blood cells. A special class of stem cell is the embryonic stem (ES) cell. As used herein the term "embryonic stem cell" refers to any cell
15 derived from an embryo and being pluripotent, thus possessing the capability of developing into any cell type of the body, an organ, or tissue.

The unique biology of these primitive cells often prevents the direct application of standard transduction and transfection techniques that have proven effective
20 in the genetic modification of differentiated cells.

There is the further complication that human ES cells are quite different to ES cells of other species. Although the study of mouse ES cells provides clues to understanding the differentiation of general mammalian tissues, dramatic
25 differences in primate and mouse development of specific lineages limits the usefulness of mouse ES cells as a model of human development. Mouse and primate embryos differ substantially in the timing of expression of the embryonic genome, in the formation of an egg cylinder versus an embryonic disc, in the proposed derivation of some early lineages, and in the structure and function in
30 the extra-embryonic membranes and placenta.

In addition, growth factor requirements to prevent differentiation are different for human ES cells compared with the requirements for mouse ES cells. In culturing mouse ES cells, support from the feeder layer can be replaced by

supplementation with LIF which can prevent differentiation and promotes continuous proliferation. Large concentrations of cloned LIF fail to prevent differentiation of human ES cell lines, and so embryonic fibroblast feeder layers are required to maintain the pluripotency and continuous proliferation of these cells. The use of feeder cells is known to cause problems with the transduction of the stem cells that are cultured with them.

Again, the unique characteristics of human ES cells manifests as a difficulty in transducing these cells. As evidence of this, the work of Hamaguchi et al (2000) demonstrates the stable transduction of mouse ES cells using a VSVG pseudotyped lentiviral vector. However, Applicants have shown that a similar vector is inefficient in the transduction of human ES cells.

Thus, differences between human and non-human stem cells coupled with the further differences between embryonic stem cells and differentiated cells leads to uncertainty when attempting to genetically modify a human ES cell by transduction.

The ability to genetically modify an ES cell is desirable because the genetic modification can potentially be passed to all progeny cells. Thus it is possible to genetically modify every cell of an organism that was derived from a single altered stem cell.

Lentiviral vectors are known in the art for their ability to transduce some cell types and have the advantage of being able to produce a stable insertion of a gene into the host cell genome. Once integrated into the host genome the provirus behaves like a resident gene, and expresses a foreign protein inserted into the viral vector. Lentiviral vectors are superior to other retroviral vectors since they do not have an absolute requirement that at least one cell division occurs in the 24 hours after viral absorption. Human (HIV) and animal (FIV, SIV) lentivirus vectors do not have this limitation. However the genomes of these viruses are complex and some products (e.g. the protease) tend to be toxic to host cells. Thus, while lentiviral vector systems have been a great advance in transducing cells, there is a high level of unpredictability that such vectors will

be useful for all cell types in a given species, or across all species for a given cell type.

Even in consideration of the problems disclosed in the prior art, Applicants have
5 successfully applied lentiviral vector technology to the transduction of human ES cells.

In a preferred form of the method the vector includes a central polypurine tract (cPPT) or functional equivalent thereof. As used herein the term "central
10 polypurine tract or functional equivalent thereof" means any DNA sequence that is required in cis for the nuclear import of the viral genome into the nucleus of the host cell. An example of such a sequence is the 99 base pair cPPT sequence from the lentiviral *pol* gene disclosed by Zennou (2000).

15 In a more preferred embodiment of the method, the cPPT is derived from a HIV-1, SIV or FIV *pol* gene. Yet more preferably the cPPT is derived from a HIV-1 *pol* gene. Most preferably the cPPT is a sequence as disclosed by Genbank accession number NC_001802 (nucleotides 4303-4480). The introduction of the cPPT element into the vector had a remarkable effect (at least 3 fold increase)
20 on the efficiency of transduction.

Transduction of human ES cells can be further improved by minimising the deleterious effects of feeder fibroblasts on transduction efficiency. In a preferred form of the invention transduction is carried out on ES cell clumps that
25 were transiently plated on low density feeders. More preferably the feeders are present at a density of less than 70,000 cells per cm², most preferably the seeding density is about 10,000 cells per cm². Immediately following transduction the ES cell clumps were transferred and further cultured on feeders that were prepared according methods described in PCT/AU99/00990
30 and PCT/AU01/00278.

Short transduction of 3 hours was found by the Applicants to be as efficient as overnight transduction. Immediately following a short period of transduction the ES cell clumps were transferred and further cultured on feeders that were

prepared according methods described in PCT/AU99/00990 and PCT/AU01/00278.

5 In a preferred aspect, transduction efficiencies may be further improved by carrying out transduction for short periods on ES cell clumps without the support of feeder layers. In a preferred embodiment of the invention transduction is carried out over about 3 hours. More preferably, double transduction is carried out over about 3 hours. An initial transduction of 1.5 hours is followed by an additional 1.5 hours transduction with fresh supernatant containing virus
10 particles. The double transduction approach improved the transduction efficiency by about 50%.

In a further preferred embodiment of the method, the lentiviral vector is used at a titer of approximately 10^7 TU/mL. More preferably, the lentiviral vector is used
15 at a concentration of approximately 10^8 TU/mL. Viral vector concentration and the ratio of the total amount of viral infectious units and the total number of ES cell had a significant effect on the efficiency of transduction. When the viral supernatant was concentrated 10 fold to a titer around 2×10^8 , the transduction efficiency increased 2.5 times or more. A two fold increase in the efficiency of
20 transduction was observed when the total amount of viral infectious units was doubled (0.25ml vs 0.5ml at a 2.58×10^8 TU/ml).

In a preferred form of the invention transduction is carried out in a serum-free medium. Applicants have found that the use of medium without serum
25 improves transduction efficiencies. In a more highly preferred method, transduction is carried out using KnockOut DMEM medium supplemented with SR. The medium is added to the cells (293 cells) that produce the viral particles after transfection, so that the viral particles are collected in this serum free medium. The serum free medium containing the viral particles is then
30 subsequently used for the transduction.

In a preferred aspect of the method the cells are plated on fibronectin. It has been previously demonstrated that the efficiency of lentiviral vector transduction of hematopoietic stem cells may be improved by infecting the cells on

fibronectin precoated dishes (Moritz et al 1996). Precoating of the plates with $1\mu\text{g}/\text{cm}^2$ or $20\mu\text{g}/\text{cm}^2$ fibronectin increased the transduction efficiency with human ES cells by two fold (8% as compared to 4%). However it also increased the level of differentiation especially with high concentrations of fibronectin.

5 Among cells that expressed the transgene, 30-40% were also immunoreactive with the GCTM2 antibody when fibronectin precoating was used while 50% were GCTM2 positive when fibronectin precoating was not used. A similar effect was observed with Retronectin (The GCTM2 monoclonal antibody detects an epitope on the protein core of a keratan sulphate/chondroitin sulphate

10 pericellular matrix proteoglycan found in human EC cells (Pera et al., 1988).

In a preferred form of the method the vector further includes a post-transcriptional regulatory element or functional equivalent thereof.

15 As used herein the term "post-transcriptional regulatory element or functional equivalent thereof" means any DNA sequence capable of increasing transgene expression post-transcriptionally either by increasing stability of the mRNA or by facilitating the nuclear export of the mRNA to the cytoplasm. An example is the Woodchuck Hepatitis B virus post-transcriptional regulatory element disclosed

20 by Zuffrey et al (1999).

In a preferred form of the invention the post-transcriptional regulatory element is from Human or Woodchuck Hepatitis B Virus Post-Transcriptional Regulatory Element (WPRES). More preferably the post transcriptional regulatory element is

25 from the Woodchuck Hepatitis B Virus as disclosed in Genbank accession number J04514 nucleotides 1093-1684.

The level of expression of a transgene after transduction was increased by the inclusion of the post-transcriptional regulatory element into the vector. Where

30 the element is WPRES this modification can increase the mean fluorescence intensity of expression with the construct that contained WPRES by two fold compared with an unmodified vector. Hence the benefits of the additional post-transcriptional regulatory element can be easily ascertained.

Preferably, the vector is prepared from a plasmid vector system comprising at least two plasmids. More preferably the vector is derived from a plasmid vector system comprising three plasmids.

- 5 In a preferred embodiment, the vector is a self-inactivating (SIN) vector with a deletion in the viral LTR that abolishes its promoter activity, and/or has at least one virulence factor sequence removed in order to improve the biosafety of the vector. More preferably, all virulence factors are removed in order to improve biosafety. Most preferably, the vector is derived from HIV-1. Before the
10 present invention, the efficiency of SIN vectors for the transduction of ES cells and their potential to induce stable non-silenced expression of transgenes was unknown. The Applicants have surprisingly demonstrated the efficient stable and non-silenced transduction of human ES cells with SIN vectors.
- 15 In a further preferred embodiment, the vector is HIV-1 based, pseudotyped with the vesicular stomatitis virus G (VSV-G) protein. Pseudotyping of a lentiviral vector with VSV-G offers two advantages: (1) It broadens the host-cell range of the virus since VSV entrance into host cells is not dependant on specific receptors. (2) It allows for concentration of the virus by ultracentrifugation
20 without loss of infectivity because the VSV-G structurally stabilises the virion.

Preferably, the vector further includes a marker. Cells may be genetically modified at any stage of differentiation with reporter or selectable markers so that the markers are carried through to any stage of cultivation. The markers
25 may be used to purify the differentiated or undifferentiated stem cell population at any stage of cultivation. The use of constructs containing marker genes also enables monitoring the fate of the modified cells after further *in vitro* or *in vivo* manipulations.

- 30 The vector may further include a selection or reporter marker gene under the control of a cell type specific promoter. Such a construct will be expressed only in a specific cell type and will allow genetic selection for the specific cell and the establishment of a pure population of a specific type of cell. Any cell type may

be selected by using this approach including undifferentiated cells or differentiated cells of a specific cell type.

In an even further preferred embodiment of the method the vector includes a foreign gene. As used herein, the term "foreign gene" means any gene that is incorporated into the vector, and which is required for the desired genetic modification of the host cell. The gene used to genetically modify the ES cell may encode any protein. In a preferred embodiment the gene encodes a protein that prevents the differentiation of an ES cell such as the *pem* gene in the mouse ES cell system. Genetic modification using the *pem* gene may also facilitate the development of purified undifferentiated ES cell populations through the introduction of vectors expressing a gene encoding a protein that will prevent differentiation.

Human ES cells modified by vectors having genes that direct differentiation as well as reporter or selectable marker genes may have improved efficiency of derivation of purified populations of a specific cell type. Pure populations of ES derived specific cell type will provide an unlimited supply of cells for transplantation therapy.

The gene may also encode transcriptional or other factors that will direct differentiation of the host cell. The differentiation of human ES cells may be forced towards a specific lineage or cell type by the introduction of vectors encoding such genes. For example the overexpression of human *Nurr-1* gene, which encodes a transcription factor of the thyroid hormone/retinoic acid nuclear receptor family, may be used to generate dopaminergic neurons (Wagner et al 1999). Accordingly the vectors of the present invention may comprise a gene encoding a protein that controls the differentiation of the host cell.

The skilled person will understand that for the gene to be expressed, the vector must include a promoter operably linked to the foreign gene. The promoter may be any of a number of sequences well known in the art that is capable of initiating expression of a gene in a mammalian cell. Preferably the promoter may be any strong promoter of a "house-keeping" gene. More preferably the

promoter is the human polypeptide chain elongation factor 1 α (hEF1- α) promoter or hPGK promoter. Most preferably the promoter has a sequence according to Genebank accession number J04617 or Genebank accession number M11958 nucleotides 1-516.

5

The mean fluorescence intensity of a cell transduced with a vector containing the gene for green fluorescent protein operably linked to the hEF1 α promoter was slightly higher than that of a vector incorporating the hPGK promoter. Furthermore, the transduction efficiency was also slightly higher with the hEF1 α promoter.

10

When a concentrated lentiviral vector that included the hEF-1 α promoter, WPRE and the cPPT elements (pRLL.cPPT.hEF-1 α p.eGFP.WPRE.SIN-18, Figure 1) was used to transduce human ES cells with a modified protocol as detailed in the Examples section, 24% of the human ES cells expressed the transgene (Figure 2). Seventy percent of the cells that expressed the transgene were undifferentiated as indicated by immunoreactivity with GCTM2 antibody. Similar proportions of cells were immunoreactive with GCTM2 in control nontransduced cells indicating that the transduction protocol did not induce differentiation. By using a KnockOut medium transduction efficiencies reached 44% (Fig 8).

15

20

By mechanically passaging regions that expressed eGFP the population of hES expressing eGFP has further increased. Fluorescence microscopy analysis of hES cells 28 days after transduction showed an intense expression of the transgene by the majority of the hES cells (Fig 4). This was confirmed by FACS analysis of transduced hES cells 45 days after transduction (5 passages) demonstrating that 81% of the hES cells expressed high levels of expression of the transgene (Figure 3).

25

30

In a preferred form of the invention the transduced cells are capable of being maintained for 36 weeks without a substantial loss of transgene expression. This has been confirmed by FACS analysis of the transduced cells that were maintained for 36 weeks in culture (Fig 9).

In a further preferred embodiment the entire viral vector integrates into the host cell. To assess whether the stable expression of the transgene results from integration of the provirus into the host DNA, Applicants performed southern blot analysis of genomic DNA prepared from transduced cells (Fig. 10). The analysis revealed that the entire viral vector had integrated into the host cells, and that the transduced hES cell population contained a maximum of 5 integrated copies of the provirus per cell. There was no evidence that the vector DNA had remained as circular or linear unintegrated DNA. These results suggest that the viral vector DNA stably integrated into the host hES cells thus enabling them to express the transgene over long periods of cultivation.

In another preferred aspect of the present invention the method does not affect the potential of cells to self-renew and proliferate *in vitro*. Applicants have shown 56% of transduced cells were immunoreactive with the GCTM2 antibody at 36 weeks after transduction (Fig.9). Hence it appears that the transduced undifferentiated cells that express the transgene retain the property of self-renewal and proliferation *in vitro*.

In a preferred form, the method does not induce differentiation of cells. Most of the cells were undifferentiated (according to morphological criteria) at the time of transduction. However, 30-60% of the cells were differentiated as indicated by the lack of immunoreactivity with GCTM2 antibody a week later. These cells probably underwent spontaneous differentiation during the week of culture. This level of spontaneous differentiation is common with currently used culture systems. Since the proportion of differentiated cells was similar among cells that expressed or did not express the transgene, it appears that the process of differentiation did not induce silencing of the transgene.

In a further preferred form of the invention, the expression of a transgene included in the vector is not silenced upon replication and/or differentiation of the cell. To examine the effect of differentiation on transgene expression the transduced hES cells were cultivated 3-4 weeks on feeders without passaging. We have previously demonstrated that under these culture conditions hES cells

differentiated into extra embryonic and somatic progeny (Reubinoff et al 2000). FACS analyses revealed that the proportion of eGFP expressing cells in the high density cell cultures was quite similar to the proportion in cultures grown for short periods. The levels of transgene expression among the various
5 cultures were also similar (Fig. 11).

The potential of the transduced hES cells to differentiate *in vitro* and still retain transgene expression was further examined during differentiation of transduced hES cells within embryoid bodies (EBs). 21 days after the generation of
10 embryoid bodies, intense expression of the transgene was documented by fluorescence microscopy (Figure 5). To further examine whether the mature EBs contain differentiated cell progenies from the three embryonic germ layers, expressing eGFP, 21 days old EBs were partially dispersed, plated, and subjected to immunohistochemistry analysis. Differentiated cells coexpressing
15 eGFP and mesodermal markers (muscle actin and desmin)(Fig.12A, B) as well as endodermal markers (laminin and LMW cytokeratin) (Fig. 12C, D) were observed.

Transgene expression was not silenced throughout neural differentiation *in vitro*. Neural progenitor spheres were derived from transduced hES cells and propagated in culture as previously described (Reubinoff et al 2001). Fluorescence microscopy analysis revealed an intense expression of eGFP within the neural spheres (Fig 6). Cells from 4 week old spheres co-expressed the reporter gene eGFP and markers of primitive neuroectoderm (N-CAM,
25 nestin, vimentin and A2B5) (Fig 7). Furthermore after induction of differentiation of the neural spheres by plating on an appropriate substrate and removal of mitogens (Reubinoff et al., 2001), the expression of transgene was maintained in differentiating cells (Fig. 6) including neurons, mature neurons and glia cells as evidenced by the demonstration of eGFP positive cells that displayed the
30 morphology and markers of early (β -tubulin III and NF-70) and mature neurons (MAP 2a,b, glutamate, NF-160), as well as glia cells (astrocytes GFAP, Fig 7 and Fig. 13).

Applicants have previously demonstrated that when hES are engrafted into the testis of severe combined immunodeficient (SCID) mice they form benign teratomas that contain a variety of cell types and structures, derived of all the three germ layers (Reubinoff et al 2000). To test whether the transduced hES
5 cells maintain their pluripotency potential while still retaining stable transgene expression *in vivo*, clumps of undifferentiated transduced hES cells were engrafted into the testis of NOD.scid mice. All mice (n=4) developed teratomas. Eight weeks after engraftment the teratomas were removed and analyzed. Histological analysis revealed that the teratomas contained differentiated cells
10 and structures derived from the three embryonic germinal layers. Cells within these structures expressed eGFP (Fig. 14). Hence, in a preferred form of the invention the transduced hES cells retain their pluripotency *in vivo* and transgene expression is not silenced following differentiation *in-vitro* and *in vivo*.

15 Genetic modification may facilitate the development of purified undifferentiated ES cell populations through the use of vectors expressing a selectable marker under the control of a stem cell specific promoter such as Oct-4. The sequence of the Oct-4 promoter may be found in the Genebank database under the accession number Z11900. The Genebank database can be accessed on the
20 Internet at the URL <http://www3.ncbi.nlm.nih.gov/Entrez/nucleotide.html>.

Other stem cell-specific promoters that can be used are (1) the promoter of the human growth differentiation factor 3 (hGDF3), a member of TGF β superfamily. The sequence of the region upstream to the transcription start point is available
25 (Genebank accession number AC006927). (2) The promoter of the human transcriptional repressor HFH2 (termed also Genesis), a member of the winged helix transcriptional regulatory family. The human homologue of Genesis was cloned and sequenced (Genebank accession number AF197560).

30 Some differentiated progeny of ES cells may produce products that are inhibitory to stem cell renewal or survival. Therefore selection against such differentiated cells, facilitated by the introduction of a construct such as that described above, may promote stem cell growth and prevent differentiation.

ES cells may be genetically modified by vectors that may facilitate the elimination of undifferentiated ES cells after transplantation. This may be achieved by transduction with vectors expressing a suicidal marker under the control of a stem cell specific promoter such as Oct-4. Alternatively, expression
5 of marker genes under the control of a stem cell specific promoter that will facilitate specific elimination by immunotherapy or other destructive agents may be used instead of the suicidal gene. Vectors may be also constructed in a similar manner to eliminate specific differentiated cell type both *in vitro* or *in vivo* by using lineage/cell type specific promoters.

10

In another aspect the present invention provides a method for treating an animal having a deficiency in a protein, the method including the steps of :

transducing a human ES cell according to the methods described herein,
engrafting the cell to the animal, and
15 allowing the cell to express the protein.

Cells modified to express a foreign protein can be implanted into an animal where they are able to produce a protein in which the animal is deficient *in vivo*. The cell may produce the protein before or after engrafting the cell to the
20 animal. The modified ES cells could be used to produce *in vivo* factors and enzymes that are deficient such as in metabolic disorders such as mucopolysaccharidoses or immune deficiencies such as SCID. Methods for engrafting cells to an animal are known in the art, with some protocols described in Reubinoff et al 2001.

25

In another aspect the present invention provides an ES cell that has been genetically modified by a method as described herein. It is contemplated that cells of the present invention may be cultured *in vitro* to produce a desired protein that may be extracted and purified from the cell culture. Alternatively,
30 the transduced cells may be engrafted into an animal as described above.

In another aspect the present invention provides a protein produced by a cell genetically modified by a method as described herein.

Genetic modification of human ES cells will have a broad range of applications. By genetically manipulating the human ES cells it will be possible to produce either pure stem cell populations or to select for a certain path of differentiation. Pure stem cell populations will be valuable for the analysis of gene expression and function during the early stages of human embryogenesis. Further, they will allow the discovery of new genes associated with pluripotency and early differentiation and to analyze genes involved in developmental failures.

The selection of a certain path of differentiation or a specific type of a differentiated cell may be invaluable for the study of differentiation, the identification of new genes and polypeptide factors which may have a therapeutic potential such as induction of regenerative processes. Additional pharmaceutical applications may include the creation of new assays for toxicology and drug discovery, such as high-throughput screens for neuroprotective compounds. Furthermore, generation of cells of a specific type by genetic modification of human ES cells *in vitro* may serve as an unlimited source of cells for tissue reconstruction.

Genetic constructs may be inserted to undifferentiated or differentiated cells at any stage of cultivation. The genetically modified cells may be used after transplantation to carry and express genes in target organs in the course of gene therapy.

Genetic modification of ES cells may also be utilized to create *in vitro* models of diseases. These models may improve understanding of the pathogenesis of various human diseases and serve to develop new therapeutic approaches and drugs.

Genetic modification of ES cells may also be a highly valuable tool for the functional analysis of known and newly discovered genes. Genetic modification using vectors that will over express or modify the expression of genes may allow the determination of a possible role of the trans-acting genes in induction of pluripotency, regulation of stem cell growth, survival and differentiation.

The lentiviral vector system was shown to be a powerful tool for genetic modification of the feeder layer embryonic fibroblasts. In a preferred aspect, the methods described herein may be used to evaluate the effect of over expression or modification of expression of candidate genes in the feeder cells, on the growth, survival and differentiation of ES cells. The methods may be used to express transgenes by the feeders that will improve the potential of the feeders to support undifferentiated proliferation of human ES cells. Alternatively the methods may allow the generation of genetically modified feeders that will direct the differentiation of human ES cells towards a specific cell type. It is contemplated that the present methods may be used to genetically modify feeders to express a selectable gene that is required for genetic selection of feeder dependent human ES cells.

It will be clear to the skilled person that the present invention will have use for post transcriptional gene silencing (PTGS). In the last few years, it has become clear that PTGS occurs in both plants and animals and has roles in viral defense and transposon silencing mechanisms. PTGS and, in particular, RNA interference (RNAi) – PTGS initiated by the introduction of double-stranded RNA (dsRNA) – may be used as a tool to knock out expression of specific genes in a variety of organisms.

The present invention will now be more fully described with reference to the following examples. It is to be understood that the examples are provided by way of illustration of the invention and that they are in no way limiting to the scope of the invention.

EXAMPLES

The following materials and methods were used in the following Examples:

30 Vector plasmid construct

The basic transgene construct that was used for transduction of hES cells is pRLL.hPGK.eGFP.SIN-18 (Zufferey et al 1998, Dull et al 1998). This is an HIV-1 based vector containing a large deletion in the 3' LTR, which abolishes the LTR promoter activity. It expresses eGFP under the transcriptional control of the

hPGK promoter. Modifications have been introduced into this vector in order to improve its performance: (1) the hPGK promoter was replaced with the hEF-1 α promoter. (2) the post-transcriptional regulatory element from Woodchuck Hepatitis virus-WPRE was inserted downstream to the reporter gene. (3) the
5 central polypurine tract (cPPT) of HIV-1 was reintroduced into the transgene upstream to the hEF-1 α promoter. A schematic representation of the final construct, pRLL.cPPT.hEF-1 α p.eGFP.WPRE.SIN-18, is presented in figure 1:

Vector production

10 Vectors were produced by transient cotransfection of three plasmids into 293T cells as described earlier (Naldini et al 1996, Dull et al 1998). Briefly, 1×10^6 293T cells were plated on 10cm plates and transfected the next day using the FuGENE 6 Transfection Reagent (Roche Molecular Biochemicals, Mannheim Germany) with a total of 20 μ g of plasmid DNA: 3.5 μ g of the envelope plasmid
15 pMD.G, 6.5 μ g of the packaging plasmid pCMV Δ R8.91, and 10 μ g of the transfer vector. The medium was replaced 20-24 h after transfection with the medium for hES cells, but containing 10% fetal bovine serum or with KnockOut medium supplemented with 20% KnockOut SR (serum replacer) (Gibco-BRL, Gaithersburg, MD). The conditioned medium was collected twice, after 24 and
20 48 hours (48 and 72 hours after transfection) and filtered through 0.45 μ m filters (Sartorius, Goettingen Germany).

The control elements that were inserted into the SIN18 vector are as follows:

The sequence of the hPGK promoter is found in genebank accession number
25 M11958 nucleotides 1-516. The gene bank accession number for the EF1- α promoter is J04617. The promoter was amplified by PCR from plasmid pEF-BOS.

The cPPT sequence is found within the complete genome sequence of the HIV-
30 1 (genebank accession number NC_001802 nucleotides 4303-4480. The cPPT fragment was amplified by PCR from a plasmid containing the HIV-1 pol gene (pCMV Δ R8.91). The WPRE sequence is found in the full-length sequence of the Woodcuck hepatitis B virus, Genebank accession number J04514

nucleotides 1093-1684. It was amplified by PCR from plasmid HR'-CMV-CXCR4-IRES-GFP-WPRE.

Concentration of virus

- 5 Virus was concentrated by ultracentrifugation in a Sorvall model Discovery 100 centrifuge, in a Surespin 630 swinging bucket rotor, at 50000xg at 4°C for 1.5h. After centrifugation the pellet was resuspended at 0.1 of the volume of the original conditioned medium in hES medium (10x concentration). The concentrated virus was used immediately for transduction or stored frozen at -
- 10 80°C.

Measurement of viral titer

- 293T cells were transduced with serial dilutions of the viral supernatant or the concentrated virus in 12-well plates (1×10^5 cells/well) in the presence of 5 µg/ml
- 15 Polybrene. After incubation for 24h at 37°C the conditioned medium was removed and fresh medium was added. 4 days after transduction the percentage of the eGFP-positive cells was measured by FACS analysis. The viral titer (Transducing units per milliliter- TU/ml) was calculated by multiplying the percentage of transduced cells by the total number of the cells (1×10^5) and
- 20 then dividing it by the volume of the viral supernatant used for transduction. :

%transduced cells x total number of cells / volume of the conditioned medium
=TU /ml.

25 Transduction of ES cells

- Human ES cells (HES-1 cell line) were cultured on mouse embryonic fibroblasts as previously described (Reubinoff et al 2000). Clumps of undifferentiated cells were isolated from 7 days old hES colonies by mechanical slicing followed by dispase digestion (10mg/ml). 10-14 clumps of the mainly undifferentiated ES
- 30 cells were incubated with the concentrated virus in the presence of 5 µg/ml Polybrene in 35mm nontreated tissue culture plates, at 37°C for 1.5h. Fresh concentrated virus was then added and the incubation continued for another 1.5h ("double infection"). In some experiments the plates were precoated with 1 µg/cm² Retronectin (TAKARA Biomedicals, Japan) according to manufacturer

instructions. After the incubation the transduced stem cell clumps were collected and replated on mouse feeder layer. Measurement of transduction efficiency was carried out by FACS analysis 7 days after transduction.

- 5 Transduction of Mouse embryonic fibroblasts (MEF) was carried out as follows. MEF were treated with mitomycin C and cultured according to our protocol (Reubinoff et al., 2000). The cells were incubated with the concentrated virus in the presence of 5µg/ml Polybrene at 37°C over-night.

10 FACS analysis

The proportion of cells that expressed the transgene was analyzed on a FACSCalibur system (Becton-Dickenson) according to green fluorescent emission. Nontransduced human ES cells were used to set the background level of fluorescence. Transduced cells were analyzed for fluorescence intensity and compared to control cells. The level of spontaneous differentiation among the transduced and control ES cells was determined by the analysis of the proportion of cells that were immunoreactive with the monoclonal antibody GCTM2 (an antibody that reacts with the TRA-1-60 ES cell-surface marker, Reubinoff et al., 2000).

20

For FACS analysis the transduced hES colonies were treated with dispase (10mg/ml), washed with PBS and then trypsinized to obtain a single cell suspension. The cells were centrifuged at 1400rpm for 4 min and the pellet was incubated with the GCTM-2 antibody on ice for 30 min. The cells were washed with cold hES medium and incubated for further 30 min on ice with PRE-Cy5-Anti-mouse IgG antibody. Then the cells were washed and the pellet was resuspended in FACS buffer.

25

Detection and quantification of integrated viral DNA

- 30 Genomic DNA was prepared from nontransduced and transduced hES cells using PUREGENE genomic DNA isolation kit (Gentra, MN USA). 100 ng of the DNA served as a template for Alu PCR amplification with the Alu sequence primer (5'ACTGCACTCCAGCCTGGGCGAC) and the viral WPRE primer (5'ACGCGTCGACAATCAACCTCTGGATTACAA). The cycling conditions were:

denaturation at 94°C for 30 sec, annealing at 55°C for 1 min, and extension at 72°C for 7 min for 25 cycles. For quantification of integrated viral DNA the amplified products were separated on 1% agarose gel, transferred to a nylon membrane (GeneScreen Plus), and hybridized with [$\alpha^{32}\text{P}$] dCTP-labelled
5 WPRE fragment. Southern hybridization was also performed with genomic DNA that was prepared from nontransduced and transduced hES cells. The DNA was digested with EcoRV (cleaves viral DNA once) or with EcoRV and EcoRI (cleave viral DNA on both sides of the eGFP). The digested DNA was separated on 1% agarose gel, transferred to a nylon membrane, and hybridized
10 with [$\alpha^{32}\text{P}$] dCTP-labelled eGFP fragment.

Immunohistochemistry Studies

Neural spheres were derived from human ES cell colonies and propagated in culture in the presence of bFGF and EGF as previously described (Reubinoff et al 2001). Immunohistochemistry studies were conducted after 4 weeks of
15 propagation. In general, for the immunophenotyping of disaggregated neural progenitor cells and differentiated neurons, fixation with 4% paraformaldehyde for 20 minutes at room temperature was used unless otherwise specified. It was followed by blocking and permeabilization with 0.2% Triton X (Sigma) and 5%
20 heat inactivated goat serum (Dako) in PBS for one hour. Samples were incubated with the primary antibodies at room temperature for 30 minutes, washed, incubated with the secondary antibodies for the same time, counterstained and mounted with Vectashield mounting solution with DAPI (Vector Laboratories, Burlingame, CA). Primary antibodies localisation was
25 performed by using mouse anti rabbit IgG and goat anti mouse IgG conjugated to Cy3 (Jackson Lab. West Grove, PA: 1:100). Proper controls for primary and secondary antibodies revealed neither non-specific staining nor antibody cross reactivity.

30 Spheres were disaggregated into single cells that were plated on coverslips that were coated with poly-D-lysine (30-70 kDa, 10 $\mu\text{g}/\text{ml}$, Sigma) and laminin (4 $\mu\text{g}/\text{ml}$, Sigma), fixed after 4-12 hours and examined by indirect immunofluorescence analysis for the expression of N-CAM (Dako, Carpinteria, CA; 1:10), nestin (rabbit antiserum a kind gift of Dr. Ron McKay; 1:25), A2B5

(ATCC, Manassas, VA; 1:20) and vimentin (methanol fixation without permeabilization, Roche Diagnostics Australia, Castle Hill, NSW; 1:20). Neuronal differentiation was induced by culturing disaggregated neural progenitors on coverslips coated with poly-D-lysine and laminin in serum free medium without supplementation of growth factors for 3-7 days. Differentiated cells were analysed by indirect immunofluorescence for the expression of the following markers: 70kDa neurofilament protein (Dako 1:50) and β -tubulin III (Sigma, 1:150).

10 Teratoma formation in SCID mice.

At the time of routine passage of the transduced hES cells, clumps of about 200 cells with an undifferentiated morphology were harvested, and injected into the testis of six weeks old NOD/Lt.SZ.CB-17Prkdc^{scid} (NOD.scid) mice (Harlan, Jerusalem, IL) (10-15 clumps per testis). 8-12 weeks later the resulting tumours were removed. To detect eGFP expression directly, parts from the tumours were fixed in 4% paraformaldehyde, frozen, and sectioned on a cryostat. Serial frozen sections were examined by fluorescence microscopy or immunostained with hematoxylin and eosin for histological examination. For immunohistochemical analysis of eGFP expression sections from the tumours were fixed in 10% neutral buffered formalin, embedded in paraffin, and examined by indirect immunofluorescence for GFP expression. Briefly, the samples were incubated at 56°C for 1 h, and then deparaffinized by washing with xylene. The samples were washed with PBS, followed by blocking and permeabilization with 0.5% Triton X (Sigma) and 1% BSA in PBS at RT for one hour. Samples were incubated with the primary antibodies (Santa Cruz biotech, Santa Cruz; 1:100) at 4°C for 48 h, washed with PBS, and incubated with goat anti rabbit IgG conjugated to Texas Red (Jackson; 1:100) for one hour at RT. The samples were then counterstained and mounted with Vectashield mounting solution with DAPI (Vector Laboratories).

30

Example 1: Efficient transduction of human ES cells by a lentiviral vector.

The potential of lentiviral vectors to genetically modify human ES cells was initially evaluated by using the SIN 18 vector (Zufferey et al 1998, Dull et al 1998). The vector included a transgene that was comprised of eGFP under the

control of hPGK promoter. Using transient cotransfection of 293 cells with three plasmids we have generated vector titers between 4.3×10^6 to 3.4×10^7 TU/ml.

- 5 In initial experiments, human ES cell colonies that were cultured on mouse embryonic fibroblasts according to our usual protocol were incubated overnight with conditioned medium containing the viral vector. Intense expression of the transgene was documented within the fibroblasts feeders while sparse expression was observed within the ES cell colonies. To eliminate possible deleterious effect of the fibroblasts on the transduction efficiency we have
- 10 infected ES cell clumps that were transiently plated on low density feeders ($10,000$ instead of $70,000$ cells per cm^2). Immediately following transduction the ES cell clumps were transferred and further cultured on feeders that were prepared according to our standard protocol.
- 15 Overnight transduction of hES cells with this protocol resulted in the expression of the transgene by about 2% of the cells. Additional transduction the next morning (double transduction) improved the transduction efficiency by two fold (4%).
- 20 To further eliminate possible unwanted effect of the feeders we have developed a protocol where double transduction is conducted for short periods (1.5 hours each) on ES cell clumps that are incubated without the support of feeders. Immediately following the short period of infection (3 hours) the ES cell clumps are further cultured on feeders according to our usual protocol.
- 25
- 30 It has been previously demonstrated that the efficiency of lentiviral vector transduction of hematopoietic stem cells may be improved by infecting the cells on fibronectin precoated dishes (Moritz et al 1996). Precoating of the plates with $1\mu\text{g}/\text{cm}^2$ or $20\mu\text{g}/\text{cm}^2$ fibronectin increased the transduction efficiency with human ES cells by two fold (8% as compared to 4%). However it also increased the level of differentiation especially with high concentrations of fibronectin. Among cells that expressed the transgene, 30-40% were also immunoreactive with the GCTM2 antibody when fibronectin precoating was used while 50% were GCTM2 positive when fibronectin precoating was not used. A similar effect

was observed with Retronectin (The GCTM2 monoclonal antibody detects an epitope on the protein core of a keratan sulphate/chondroitin sulphate pericellular matrix proteoglycan found in human EC cells (Pera et al., 1988).

- 5 Viral vector concentration and the ratio of the total amount of viral infectious units and the total number of ES cell had a significant effect on the efficiency of transduction. When the viral supernatant was concentrated 10 fold to a titer around 2×10^8 , the transduction efficiency increased 2.5 times or more. A two fold increase in the efficiency of transduction was observed when the total
10 amount of viral infectious units was doubled (0.25ml vs 0.5ml at a 2.58×10^8 TU/ml).

Concurrently with improving the transduction protocol Applicants have modified the SIN 18 lentiviral vector to improve its performance: (1) the hPGK promoter
15 was replaced with the hEF-1 α promoter. (2) the post-transcriptional regulatory element from Woodchuck Hepatitis virus-WPRE was inserted downstream to the reporter gene. (3) the central polypurine tract (cPPT) of HIV-1 was reintroduced into the transgene upstream to the promoter. A schematic representation of the final construct, pRLL.cPPT.hEF-1 α p.eGFP.WPRE.SIN-18,
20 is presented in figure 1.

Insertion of the WPRE element into the SIN-18 vector did not increase the transduction efficiency, however the mean fluorescence intensity (MFI) of expression with the construct that contained WPRE was two fold higher than
25 the original SIN18 vector.

Replacement of the hPGK promoter with a 208bp fragment containing the hEF1 α promoter slightly increased the MFI of the transgene. Furthermore, the transduction efficiency was also slightly higher.

30

The introduction of the cPPT element into the vector had a remarkable effect (3 fold increase) on the efficiency of transduction. Moreover the MFI of the transgene was also increased.

When a concentrated SIN18 viral vector that included the hEF-1 α promoter, WPRE and the cPPT elements (pRLL.cPPT.hEF-1 α p.eGFP.WPRE.SIN-18, Figure 1) was used to transduce human ES cells with a modified protocol as detailed above, 24% of the human ES cells expressed the transgene (Figure 2).

5 Performing the transduction with KnockOut medium supplemented with KnockOut Serum Replacer increased the transduction efficiencies even further (45%) (Fig 8). Seventy percent of the cells that expressed the transgene were undifferentiated as indicated by immunoreactivity with GCTM2 antibody. Similar proportion of cells were immunoreactive with GCTM2 in control nontransduced

10 cells indicating that the transduction protocol did not induce differentiation.

Most of the cells were undifferentiated (according to morphological criteria) at the time of transduction. However, 30-60% of the cells were differentiated as indicated by the lack of immunoreactivity with GCTM2 antibody a week later.

15 These cells probably underwent spontaneous differentiation during the week of culture. This level of spontaneous differentiation is common with our current culture system. Since the proportion of differentiated cells was similar among cells that expressed or did not express the transgene, it appears that the process of differentiation did not induce silencing of the transgene.

20

Example 2: Lentiviral vectors promote stable expression of the transgene

The transduced cells were enriched for cells expressing the transgene by selectively passaging cell clumps that expressed the transgene. 28 days after transduction (after 4 selective passages of the cells performed at each

25 consecutive week) an intense expression of the transgene was visualized by fluorescence microscopy (Fig 4). FACS analysis of the transduced cells 45 days after transduction revealed that 81% of the hES cells maintained high levels of expression of the transgene (Fig 3). FACS analysis of transduced cells propagated for 12 weeks in culture showed that ~90% of the hES expressed

30 high levels of the transgene. The transduced cells are now being maintained for 36 weeks without any apparent loss of transgene expression as demonstrated by FACS analysis of the transduced cells (Fig 9). Thus the transduced hES cells maintain their ability to express the transgene over long periods of

cultivation. Moreover the infected undifferentiated cells that expressed the transgene retained the property of self-renewal and proliferation *in vitro*.

To assess whether the stable expression of the transgene results from
5 integration of the provirus into the host DNA we performed southern blot
analysis of genomic DNA prepared from transduced cells (Fig. 10). The analysis
revealed that the entire viral vector had integrated into the host cells, and that
the transduced hES cell population contained a maximum of 5 integrated copies
of the provirus per cell. We found no evidence that the vector DNA had
10 remained as circular or linear unintegrated DNA. These results suggest that the
viral vector DNA stably integrated into the host hES cells thus enabling them to
express the transgene over long periods of cultivation.

Example 3: The expression of transgene is not silenced upon
15 **differentiation *in vitro***

To examine the effect of differentiation on transgene expression the transduced
hES cells were cultivated 3-4 weeks on feeders without passaging. We have
previously demonstrated that under these culture conditions hES cells
differentiated into extra embryonic and somatic progeny (Reubinoff et al 2000).
20 FACS analyses revealed that the percentage of eGFP expressing cells in the
high density cell cultures was quite similar to the proportion of eGFP+ cells in
cultures grown for short periods. The levels of transgene expression among the
various cultures were similar (Fig. 11).

25 The potential of the transduced hES cells to differentiate *in vitro* and still retain
transgene expression was further examined during differentiation of transduced
hES cells within embryoid bodies (EBs). Fluorescence microscopy analysis of the
5 and 21 days old embryoid bodies revealed intense expression of eGFP within
the embryoid bodies (Fig 5). To further examine whether the mature EBs
30 contain differentiated cell progenies from the three embryonic germ layers,
expressing eGFP, 21 days old EBs were partially dispersed, plated, and
subjected to immunohistochemistry analysis. Differentiated cells coexpressing
eGFP and mesodermal markers (muscle actin and desmin)(Fig.12A, B) as well

as endodermal markers (laminin and LMW cytokeratin) (Fig. 12C, D) were observed.

The stability of expression along differentiation into the neuroectodermal lineage was examined in neural progenitors and their differentiated progeny. Transgene expression was also not silenced throughout neural differentiation *in vitro*. Neural progenitor spheres were derived from transduced hES cells and propagated in culture as previously described (Reubinoff et al 2001). Fluorescence microscopy analysis revealed an intense expression of eGFP within the neural spheres (Fig 6). Cells from 4 week old spheres co-expressed the reporter gene eGFP and markers of primitive neuroectoderm (N-CAM, nestin, vimentin and A2B5) (Fig 7 and Fig 13).). Furthermore after induction of differentiation of the neural spheres by plating on an appropriate substrate and removal of mitogens (Reubinoff et al., 2001), the expression of transgene was maintained in differentiating cells (Fig 6) including neurons, mature neurons and glia cells as evidenced by the demonstration of eGFP positive cells that displayed the morphology and markers of early (β -tubulin III and NF-70) and mature neurons (MAP 2a,b, glutamate, NF-160), as well as glia cells (astrocytes GFAP, Fig 7 and fig. 13).

20

Thus it appears that transduction of hES cells with the SIN 18 lentiviral vector leads to expression of the transgene that is not silenced upon differentiation. Moreover the transduced cells retain their pluripotency potential *in vitro*.

25 **Example 4: The expression of transgene is not silenced upon differentiation *in vivo***

We have previously demonstrated that when hES are engrafted into the testis of severe combined immunodeficient (SCID) mice they form benign teratomas that contain a variety of cell types and structures, derived of all the three germ layers (Reubinoff et al 2000). To test whether the transduced hES cells maintain their pluripotency potential while still retaining stable transgene expression *in vivo*, clumps of undifferentiated transduced hES cells were engrafted into the testis of NOD.scid mice. All mice (n=4) developed teratomas. Eight weeks after engraftment the teratomas were removed and analysed for eGFP expression.

30

The teratomas contained differentiated cells and structures derived from the three embryonal germ layers. Cells within these structures expressed eGFP indicating that transgene expression was not silenced during differentiation *in-vivo* (Fig 14).

5

Example 5: Genetic modification of feeders by lentiviral vector

The lentiviral vector that was used for transduction of hES cells proved efficient for transduction of the mouse feeder layer which supports the growth of the hES cells. Transduction of the mitotically inactivated mouse fibroblasts over-night
10 with a 10x concentrated virus resulted in 30% of fibroblasts expressing the transgene.

REFERENCES

Barry SC, Harder B, Brzezinski M, Flint LY, Seppen J, Osborne WR. Lentivirus
15 vectors encoding both central polypurine tract and posttranscriptional regulatory element provide enhanced transduction and transgene expression. Hum Gene Ther 2001;12(9):1103-8.

Bartz SR, Vodicka MA. Production of high-titer human immunodeficiency virus
20 type 1 pseudotyped with vesicular stomatitis virus glycoprotein. Methods 1997 12(4):337-42

Blomer U, Naldini L, Kafri T, Trono D, Verma IM, Gage FH. Highly efficient and sustained gene transfer in adult neurons with a lentivirus vector. J Virol 1997;
25 71(9):6641-9

Cherry SR, Binischewicz D, van Parijs L, Baltimore D, Jaenisch R. Retroviral expression in embryonic stem cells and hematopoietic stem cells. Mol Cell Biol 2000 ;20(20):7419-26.

30

Dull T, Zufferey R, Kelly M, Mandel RJ, Nguyen M, Trono D, Naldini L.J A third-generation lentivirus vector with a conditional packaging system. J Virol 1998; 72(11):8463-71.

Eiges R, Schuldiner M, Drukker M, Yanuka O, Itskovitz-Eldor J, Benvenisty N. Establishment of human embryonic stem cell-transfected clones carrying a marker for undifferentiated cells. *Current Biology* 2001, **11**:514-518.

- 5 Fan Y, Melhem MF, Chaillet JR. Forced expression of the homeobox-containing gene *Pem* blocks differentiation of embryonic stem cells. *Dev Biol* 1999 ;210(2):481-96.

- 10 Follenzi A, Ailles LE, Bakovic S, Geuna M, Naldini L. Gene transfer by lentiviral vectors is limited by nuclear translocation and rescued by HIV-1 pol sequences. *Nat Genet* 2000;25(2):217-22.

- 15 Grez M, Akgun E, Hilberg F, Ostertag. Embryonic stem cell virus, a recombinant murine retrovirus with expression in embryonic stem cells. *Proc Natl Acad Sci U S A* 1990 ;87(23):9202-6

- 20 Hamaguchi I, Woods NB, Panagopoulos I, Andersson E, Mikkola H, Fahlman C, Zufferey R, Carlsson L, Trono D, Karlsson S. Lentivirus vector gene expression during ES cell-derived hematopoietic development in vitro. *J Virol* 2000 ;74(22):10778-84.

- 25 Jahner D, Stuhlmann H, Stewart CL, Harbers K, Lohler J, Simon I, Jaenisch. De novo methylation and expression of retroviral genomes during mouse embryogenesis. *Nature* 1982 ;298(5875):623-8

- Kafri T, Blomer U, Peterson DA, Gage FH, Verma IM. Sustained expression of genes delivered directly into liver and muscle by lentiviral vectors. *Nat Genet* 1997 ;17(3):314-7.

- 30 Kay MA, Glorioso JC, Naldini L. Viral vectors for gene therapy: the art of turning infectious agents into vehicles of therapeutics. *Nat Med* 2001 7(1):33-40.

Miyoshi H, Blomer U, Takahashi M, Gage FH, Verma IM. Development of a self-inactivating lentivirus vector. *J Virol* 1998 Oct;72(10):8150-7.

- Moritz T, Dutt P, Xiao X, Carstanjen D, Vik T, Hanenberg H, Williams DA. Fibronectin improves transduction of reconstituting hematopoietic stem cells by retroviral vectors: evidence of direct viral binding to chymotryptic carboxy-terminal fragments. *Blood* 1996 ;88(3):855-62
- 5
- Naldini L, Blomer U, Gallay P, Ory D, Mulligan R, Gage FH, Verma IM, Trono D. In vivo gene delivery and stable transduction of nondividing cells by a lentiviral vecto *Science* 1996 ;272(5259):263-7. *Science* 1996 ;272(5259):263-7.
- 10
- Niwa O, Yokota Y, Ishida H, Sugahara T. Independent mechanisms involved in suppression of the Moloney leukemia virus genome during differentiation of murine teratocarcinoma cells. *Cell* 1983 ;32(4):1105-13
- 15
- Pera, M. F. et al. Analysis of cell-differentiation lineage in human teratomas using new monoclonal-antibodies to cytostructural antigens of embryonal carcinoma-cells. *Differentiation* 39, 139-149 (1988).
- Reubinoff BE, Pera MF, Fong CY, Trounson A, Bongso A. Embryonic stem cell
- 20 lines from human blastocysts: somatic differentiation in vitro. *Nat Biotechnol* 2000 ;18(4):399-404.
- Reubinoff BE, Itsykson P, Turetsky T, Pera M, Reinhartz E, Itzik A, and Ben-Hur T. Neural progenitors from human embryonic stem cells.
- 25 *Nature Biotechnology* 2001; 19: 1134 - 1140
- Robertson EJ. Using embryonic stem cells to introduce mutations into the mouse germ line. *Biol Reprod* 1991 ;44(2):238-45.
- 30
- Stewart CL, Stuhlmann H, Jahner D, Jaenisch R. De novo methylation, expression, and infectivity of retroviral genomes introduced into embryonal carcinoma cells. *Proc Natl Acad Sci U S A* 1982 ;79(13):4098-102.

Vigna E, Naldini L. Lentiviral vectors: excellent tools for experimental gene transfer and promising candidates for gene therapy. *J Gene Med* 2000 ;2(5):308-16.

- 5 Wagner J, Akerud P, Castro DS, Holm PC, Canals JM, Snyder EY, Perlmann T, Arenas E. Induction of a midbrain dopaminergic phenotype in Nurr1-overexpressing neural stem cells by type 1 astrocytes. *Nat Biotechnol* 1999 ;17(7):653-9.

- 10 Zennou V, Petit C, Guetard D, Nerhbass U, Montagnier L, Charneau P. HIV-1 genome nuclear import is mediated by a central DNA flap. *Cell* 2000 ;101(2):173-85.

- 15 Zufferey R, Donello JE, Trono D, Hope TJ Woodchuck hepatitis virus posttranscriptional regulatory element enhances expression of transgenes delivered by retroviral vectors. *J Virol* 1999 ;73(4):2886-92.

- 20 Zufferey R, Dull T, Mandel RJ, Bukovsky A, Quiroz D, Naldini L, Trono D. Self-inactivating lentivirus vector for safe and efficient in vivo gene delivery. *J Virol* 1998 72(12):9873-80.

Finally it is to be understood that various other modifications and/or alterations may be made without departing from the spirit of the present invention as outlined herein.

CLAIMS:

1. A method for transducing a human embryonic stem cell, said method including exposing the embryonic stem cell to a vector.
- 5 2. A method according to claim 1 wherein the vector is a retroviral vector.
3. A method according to claim 1 wherein the vector is a lentiviral vector.
- 10 4. A method according to claim 4 wherein the vector includes a central polypurine tract (cPPT) or functional equivalent thereof.
5. A method according to claim 4 wherein the cPPT is derived from a retroviral *pol* gene.
- 15 6. A method according to claim 4 wherein the cPPT is derived from a lentiviral *pol* gene.
7. A method according to claim 4 wherein the cPPT is derived from a HIV-1, SIV or FIV *pol* gene.
- 20 8. A method according to claim 4 wherein the cPPT is derived from a HIV-1 *pol* gene.
- 25 9. A method according to claim 4 wherein the cPPT includes a sequence as disclosed by Genbank accession number NC_001802 (nucleotides 4303-4480).
10. A method according to any one of claims 4 to 9 wherein the transduction is carried out on ES cell clumps transiently plated on low density feeders.
- 30 11. A method according to claim 10 wherein the feeders are present at a density of less than 70,000 cells per cm².

12. A method according to claim 10 wherein the feeders are present at about 10,000 cells per cm^2 .
13. A method according to any one of claims 1 to 9 wherein the transduction is carried out on ES cell clumps without the support of feeder layers, preferably the transduction is carried out for a short period.
14. A method according to any one of claims 1 to 9 wherein the transduction is carried out over a period of about 3 hours.
15. A method according to any one of claims 1 to 14 wherein the transduction is double transduction.
16. A method according to any one of claims 1 to 15 wherein, the lentiviral vector is used at a titer of approximately 10^7 TU/mL.
17. A method according to any one of claims 1 to 15 wherein, the lentiviral vector is used at a titre of approximately 10^8 TU/mL.
18. A method according to any one of claims 1 to 17 wherein the transduction is carried out in a serum-free medium.
19. A method according to any one of claims 1 to 18 wherein the transduction is carried out using KnockOut DMEM medium supplemented with SR.
20. A method according to any one of claims 1 to 19 wherein the cells are plated on fibronectin.
21. A method according to claim 20 wherein the plate is precoated with fibronectin at a rate of from about $1\mu\text{g}/\text{cm}^2$ to about $20\mu\text{g}/\text{cm}^2$.
22. A method according to any one of claims 1 to 21 wherein the vector includes a post-transcriptional regulatory element or functional equivalent thereof.

23. A method according to claim 22 wherein the post-transcriptional regulatory element is from Human or Woodchuck Hepatitis B Virus Post-Transcriptional Regulatory Element (WPRE).
- 5
24. A method according to claim 22 wherein the post transcriptional regulatory element includes a sequence from the Woodchuck Hepatitis B Virus as disclosed by Genbank accession number J04514 (nucleotides 1093-1684).
- 10
25. A method according to any one of claims 1 to 24 wherein the vector is prepared from a plasmid vector system including two plasmids.
26. A method according to any one of claims 1 to 24 wherein the vector is derived from a plasmid vector system comprising three plasmids.
- 15
27. A method according to any one of claims 1 to 26 wherein the vector is a self-inactivating (SIN) vector.
28. A method according to claim 27 wherein the SIN includes a viral LTR having reduced promoter activity, and/or having at least one virulence factor sequence removed or mutated.
- 20
29. A method according to claims 27 or 28 wherein, all virulence factors are removed or mutated.
- 25
30. A method according to any one of claims 1 to 29 wherein the vector is HIV-1 based, pseudotyped with the vesicular stomatitis virus G (VSV-G) protein.
31. A method according to any one of claims 1 to 30 wherein the vector includes a foreign gene.
- 30
32. A method according to claim 31 wherein the foreign gene is a marker gene or a selective gene.

33. A method according to claims 31 or 32 wherein the gene encodes a protein that prevents the differentiation of an ES cell.
34. A method according to claim 33 wherein the gene is the *pem* gene.
- 5 35. A method according to claims 31 or 32 wherein the gene encodes transcriptional and/or other factors that direct differentiation of the ES cell.
- 10 36. A method according to any one of claims 31 to 35 wherein the gene is operably linked to a promoter.
37. A method according to claim 36 wherein the promoter is capable of initiating expression of a gene in a mammalian cell.
- 15 38. A method according to any one of claims 31 to 36 wherein the foreign gene is under the control of a cell type specific promoter.
39. A method according to any one of claims 36 to 38 wherein the promoter is a strong promoter of a house-keeping gene.
- 20 40. A method according to any one of claims 36 to 39 wherein the promoter is the human polypeptide chain elongation factor 1 α (hEF1- α) promoter or hPGK promoter.
- 25 41. A method according to any one of claims 36 to 40 wherein the promoter includes a sequence as disclosed by Genebank accession number J04617 or Genebank accession number M11958 (nucleotides 1-516).
42. A method according to any one of claims 1 to 41 wherein the transduced
- 30 cells are capable of being maintained for about 36 weeks without a substantial loss of transgene expression.
43. A method according to any one of claims 1 to 42 wherein the vector integrates into the host cell.

44. A method according to any one of claims 1 to 43 wherein the method does not substantially affect the potential of the transduced cell to self-renew and/or proliferate in vitro.

5

45. A method according to any one of claims 1 to 44 wherein the method does not induce substantial differentiation of the cell.

10

46. A method according to any one of claims 1 to 45 wherein the expression of a transgene included in the vector is not silenced upon replication and/or differentiation of the cell.

15

47. A method according to any one of claims 1 to 46 wherein the transduced ES cell retains pluripotency in vivo and in vitro and transgene expression is not silenced following differentiation in vitro and in vivo.

48. A method according to any one of claims 32 to 47 wherein the promoter is Oct-4.

20

49. A method according to claim 48 wherein the sequence of the Oct-4 promoter is according to the Genebank database under the accession number Z11900.

25

50. A method according to claim 39 wherein the promoter includes a sequence selected from the group including the promoter of the human growth differentiation factor 3 (hGDF3) (Genebank accession number AC006927), the promoter of the human transcriptional repressor HFH2 (Genebank accession number AF197560).

30

51. A method for treating an animal having a deficiency in a protein, the method including the steps of :
transducing a human ES cell according to any one of claims 1 to 50,
engrafting the cell to the animal, and
allowing the cell to express the protein.

52. An ES cell genetically modified by a method according to any one of claims 1 to 50.
- 5 53. A protein produced by a cell genetically modified by a method according to any one of claims 1 to 50.
54. A vector for transducing a human embryonic stem cell, wherein the vector includes a cPPT or functional equivalent thereof.
- 10 55. A vector according to claim 54 wherein the vector is a retroviral vector.
56. A vector according to claim 54 wherein the vector is a lentiviral vector.
- 15 57. A vector according to claim 54 wherein the cPPT is derived from a retroviral *pol* gene.
58. A vector according to claim 54 wherein the cPPT is derived from a lentiviral *pol* gene.
- 20 59. A vector according to claim 54 wherein the cPPT is derived from a HIV-1, SIV or FIV *pol* gene.
60. A vector according to claim 54 wherein the cPPT is derived from a HIV-1 *pol* gene.
- 25 61. A vector according to claim 54 wherein the cPPT includes a sequence as disclosed by Genebank accession number NC_001802 (nucleotides 4303-4480).
- 30 62. A vector according to any one of claims 54 to 61 wherein the vector includes a post-transcriptional regulatory element or functional equivalent thereof.

63. A vector according to claim 62 wherein the post-transcriptional regulatory element is from Human or Woodchuck Hepatitis B Virus Post-Transcriptional Regulatory Element (WPRE).

5 64. A vector according to claim 62 wherein the post transcriptional regulatory element includes a sequence from the Woodchuck Hepatitis B Virus as disclosed by Genebank accession number J04514 (nucleotides 1093-1684).

65. A vector according to any one of claims 54 to 64 wherein the vector is
10 prepared from a plasmid vector system including two plasmids.

66. A vector according to any one of claims 54 to 64 wherein the vector is derived from a plasmid vector system comprising three plasmids.

15 67. A vector according to any one of claims 54 to 66 wherein the vector is a self-inactivating (SIN) vector.

68. A vector according to claim 67 wherein the SIN includes a viral LTR having reduced promoter activity, and/or having at least one virulence factor sequence
20 removed or mutated.

69. A vector according to claim 68 or claim 68 wherein all virulence factors are removed or mutated.

25 70. A vector according to any one of claims 54 to 69 wherein the vector is HIV-1 based, pseudotyped with the vesicular stomatitis virus G (VSV-G) protein.

71. A vector according to any one of claims 54 to 70 wherein the vector includes a foreign gene.
30

72. A vector according to claim 71 wherein the foreign gene is a marker gene or a selective gene.

73. A vector according to claims 71 or 72 wherein the gene encodes a protein that prevents the differentiation of an ES cell.

74. A vector according to claim 73 wherein the gene is the *pem* gene.

5

75. A vector according to claims 71 or 72 wherein the gene encodes transcriptional and/or other factors that direct differentiation of the ES cell.

76. A vector according to any one of claims 71 to 75 wherein the gene is operably linked to a promoter.

10

77. A vector according to claim 76 wherein the promoter is capable of initiating expression of a gene in a mammalian cell.

78. A vector according to any one of claims 71 to 76 wherein the foreign gene is under the control of a cell type specific promoter.

15

79. A vector according to any one of claims 76 to 78 wherein the promoter is a strong promoter of a house-keeping gene.

20

80. A vector according to any one of claims 76 to 79 wherein the promoter is the human polypeptide chain elongation factor 1 α (hEF1- α) promoter or hPGK promoter.

81. A vector according to any one of claims 76 to 80 wherein the promoter includes a sequence as disclosed by Genebank accession number J04617 or Genebank accession number M11958 (nucleotides 1-516).

25

82. A vector according to any one of claims 54 to 93 wherein the vector integrates into the host cell.

30

83. A vector according to any one of claims 54 to 82 wherein the vector does not substantially affect the potential of the transduced cell to self-renew and/or proliferate in vitro.

84. A vector according to any one of claims 54 to 83 wherein the vector does not induce substantial differentiation of the cell transduced by said vector.

- 5 85. A vector according to any one of claims 54 to 84 wherein the expression of a transgene included in the vector is not silenced upon replication and/or differentiation of a cell transduced by said vector.

- 10 86. A vector according to any one of claims 54 to 85 wherein the transduced ES cell retains pluripotency in vivo and in vitro and transgene expression is not silenced following differentiation in vitro and in vivo.

- 15 87. A vector according to any one of claims 85 to 86 wherein the promoter is Oct-4.

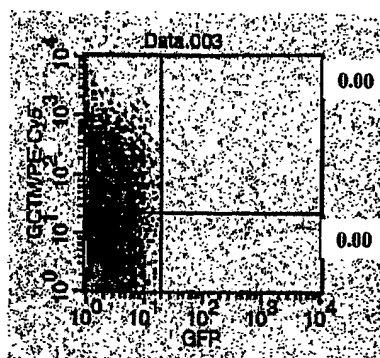
88. A vector according to claim 87 wherein the sequence of the Oct-4 promoter is according to the Genbank database under the accession number Z11900.

- 20 89. A vector according to claim 78 wherein the promoter includes a sequence selected from the group including the promoter of the human growth differentiation factor 3 (hGDF3) (Genbank accession number AC006927), the promoter of the human transcriptional repressor HFH2 (Genbank accession number AF197560).

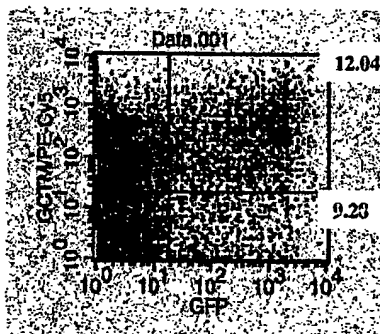
- 25 90. A method for post transcriptional gene silencing in a human embryonic stem cell, said method including exposing the embryonic stem cell to a vector according to any one of claims 54 to 89 wherein the vector includes a nucleotide sequence capable of silencing the gene.

Figure 1

Figure 2

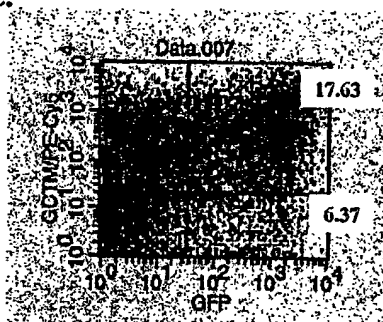


B.



%Transduction	%GFP+ GCTM2+	%GFP+ GCTM2-
21.32	12.04	9.28

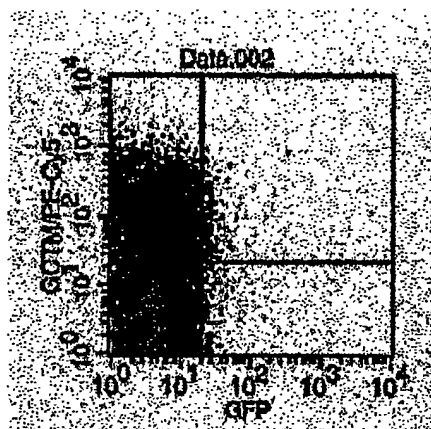
C.



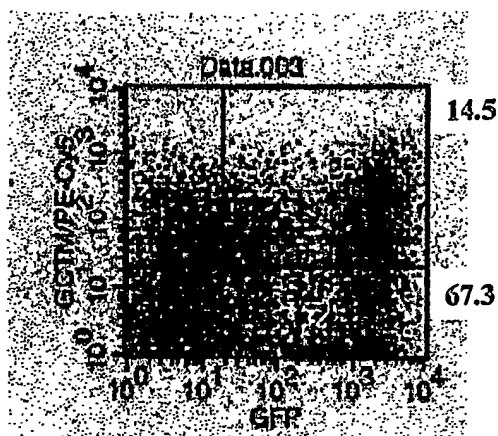
%Transduction	%GFP+ GCTM2+	%GFP+ GCTM2-
24.0	17.63	6.37

Figure 3

A.



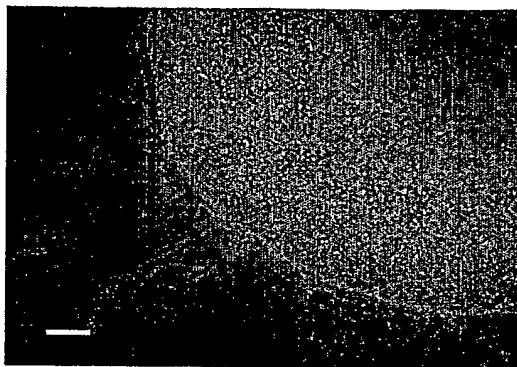
B.



%hES cells expressing GFP	%GFP+ GCTM2+	%GFP+ GCTM2-
81.8	14.5	67.3

Figure 4

A.



B.

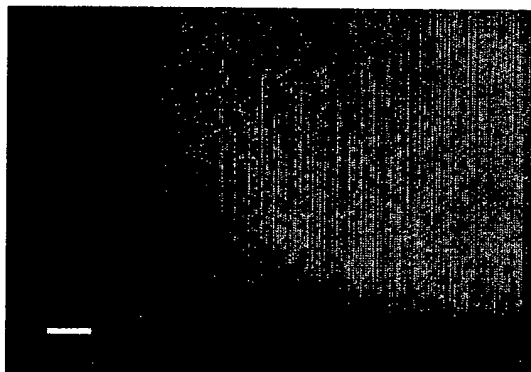
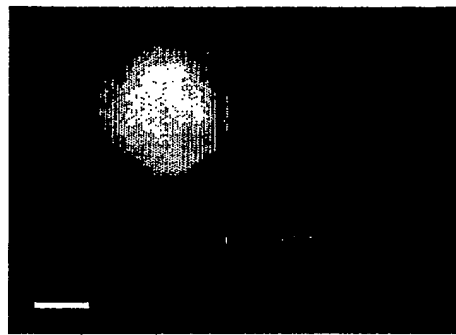
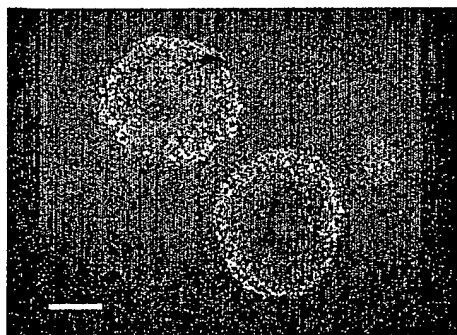


Figure 5

A.



B.

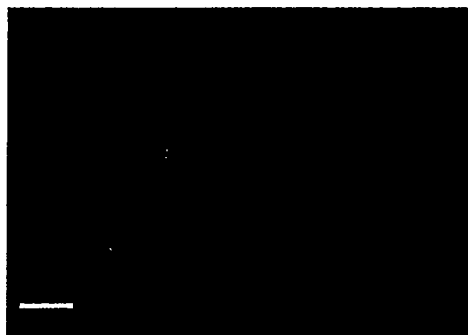
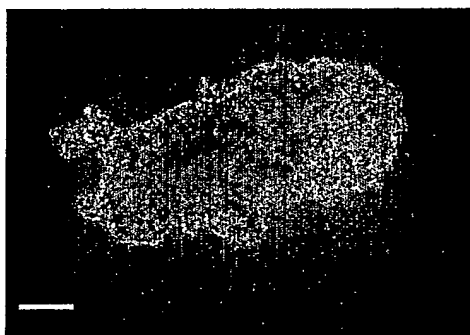
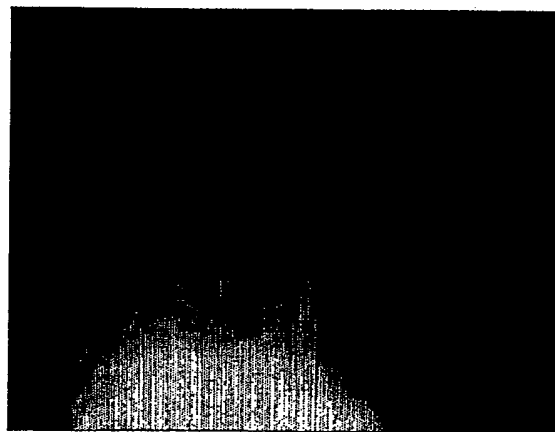
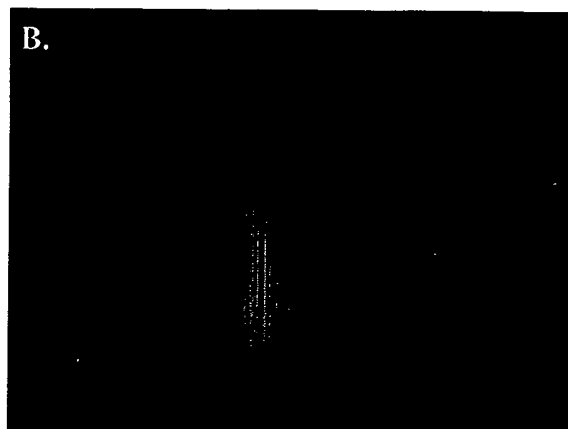
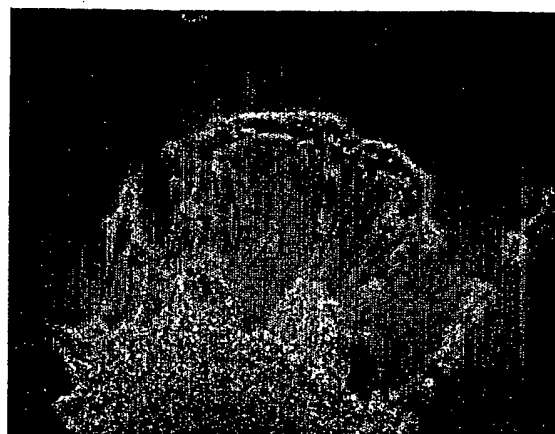
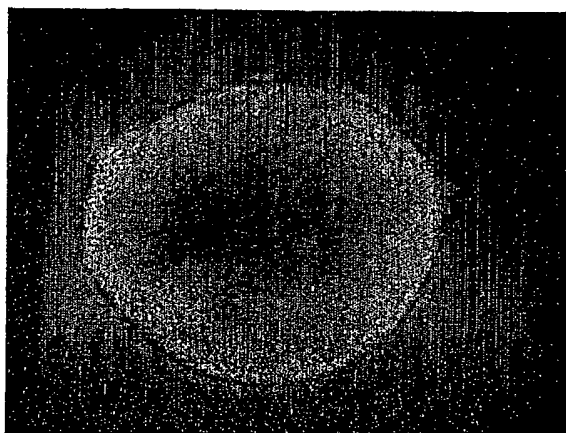


Figure 6



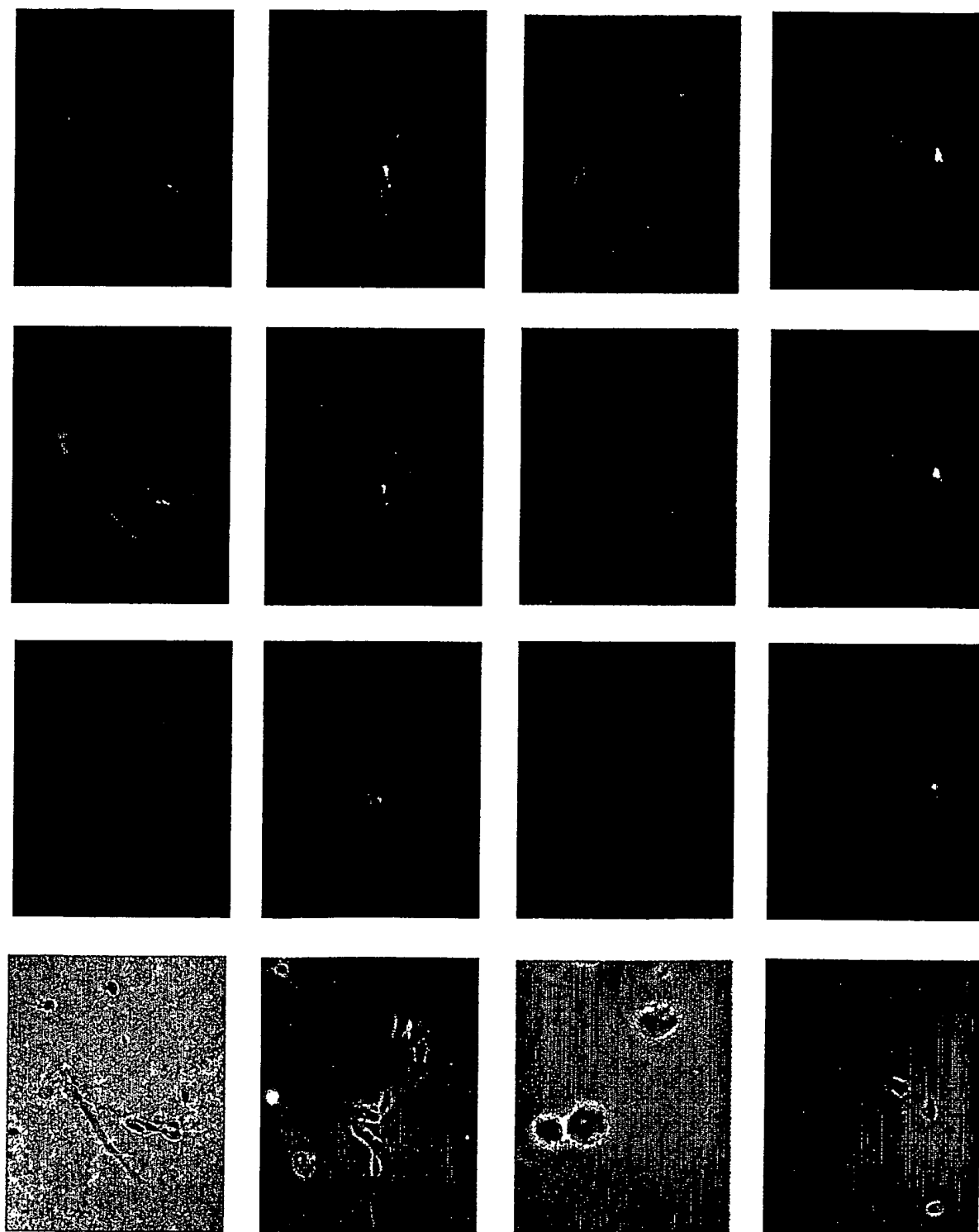


Figure 7

A

B

C

D

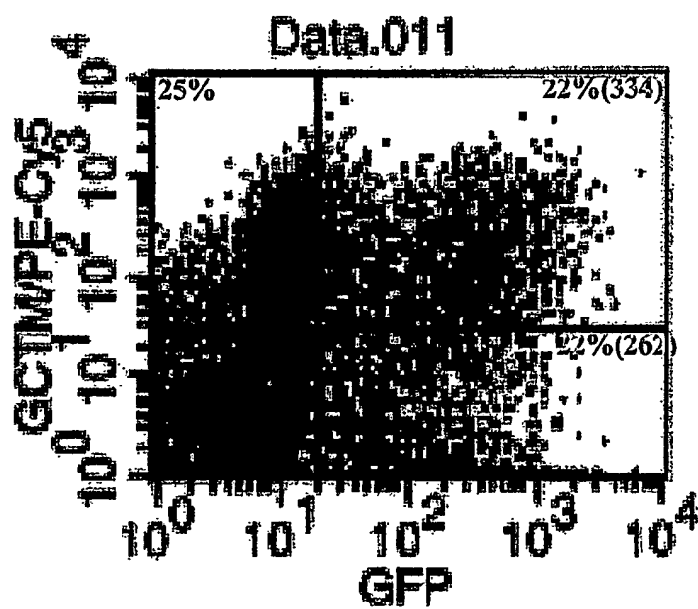
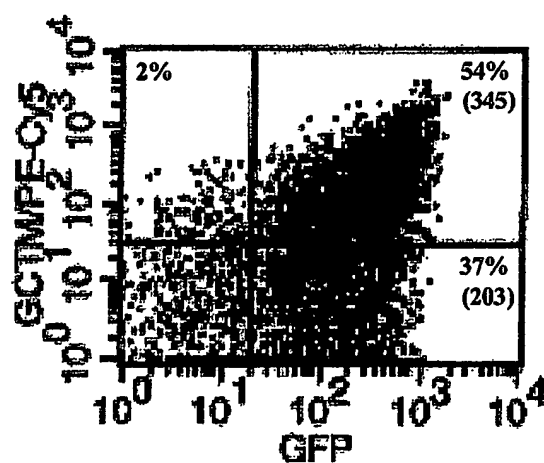


FIGURE 8

**FIGURE 9**

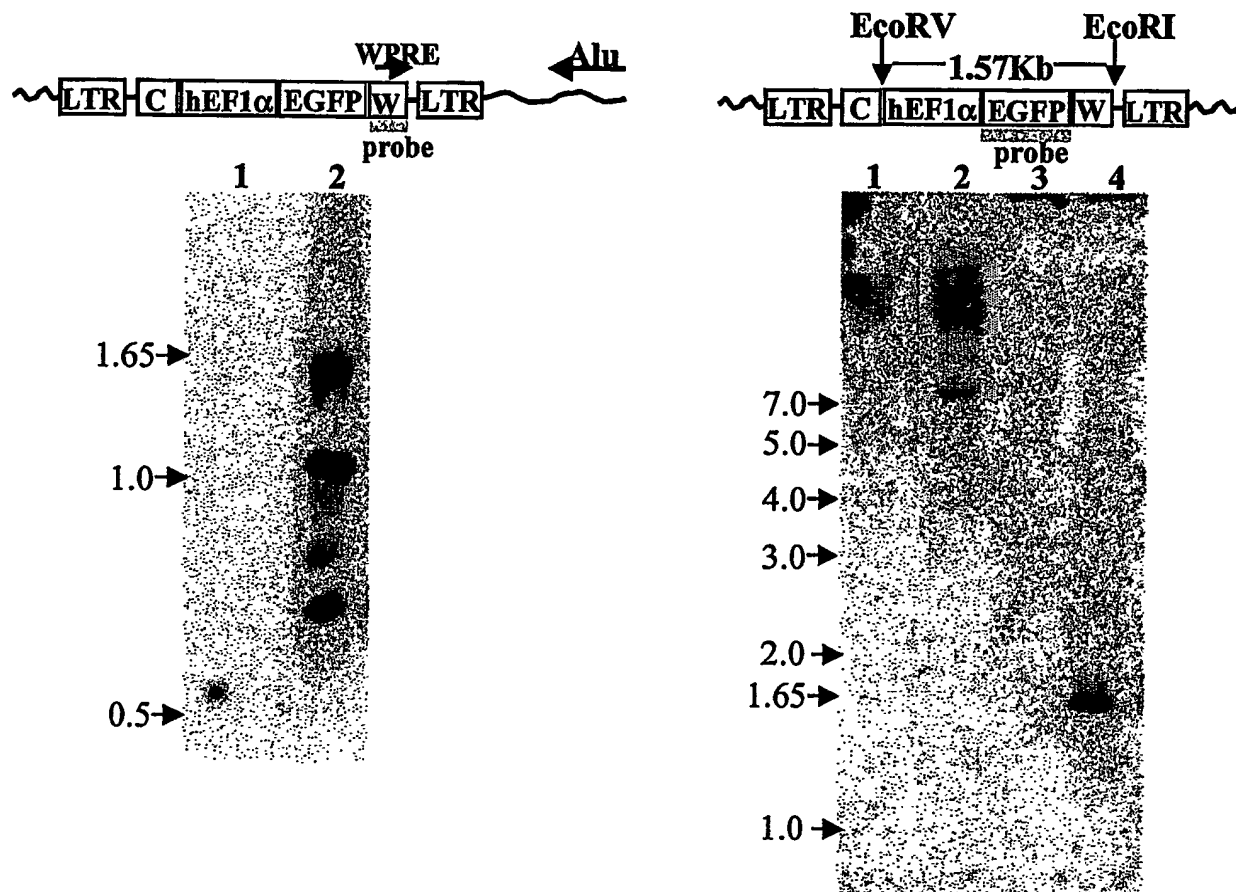


FIGURE 10

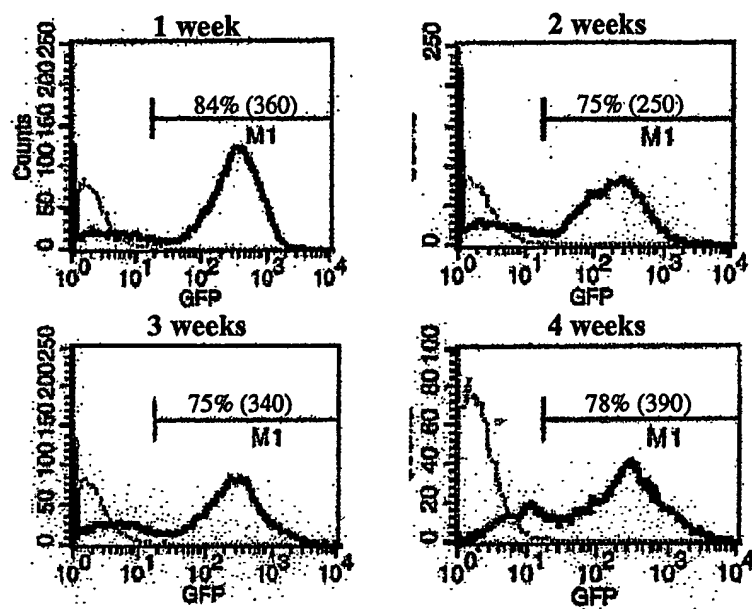


FIGURE 11

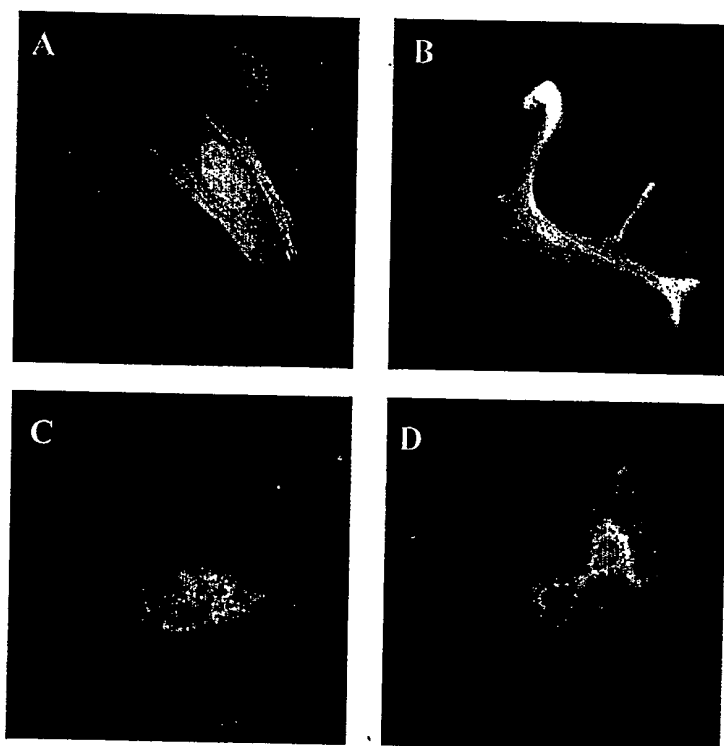
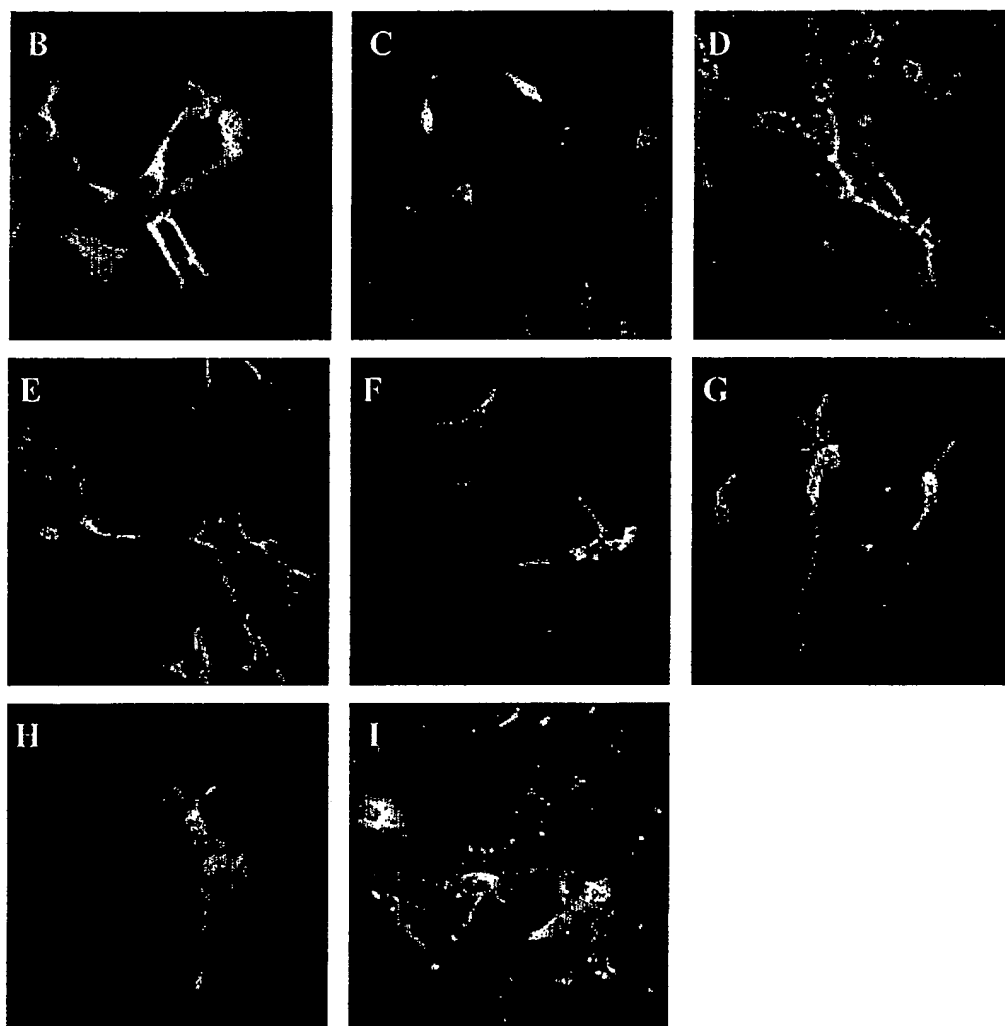


FIGURE 12

**FIGURE 13**

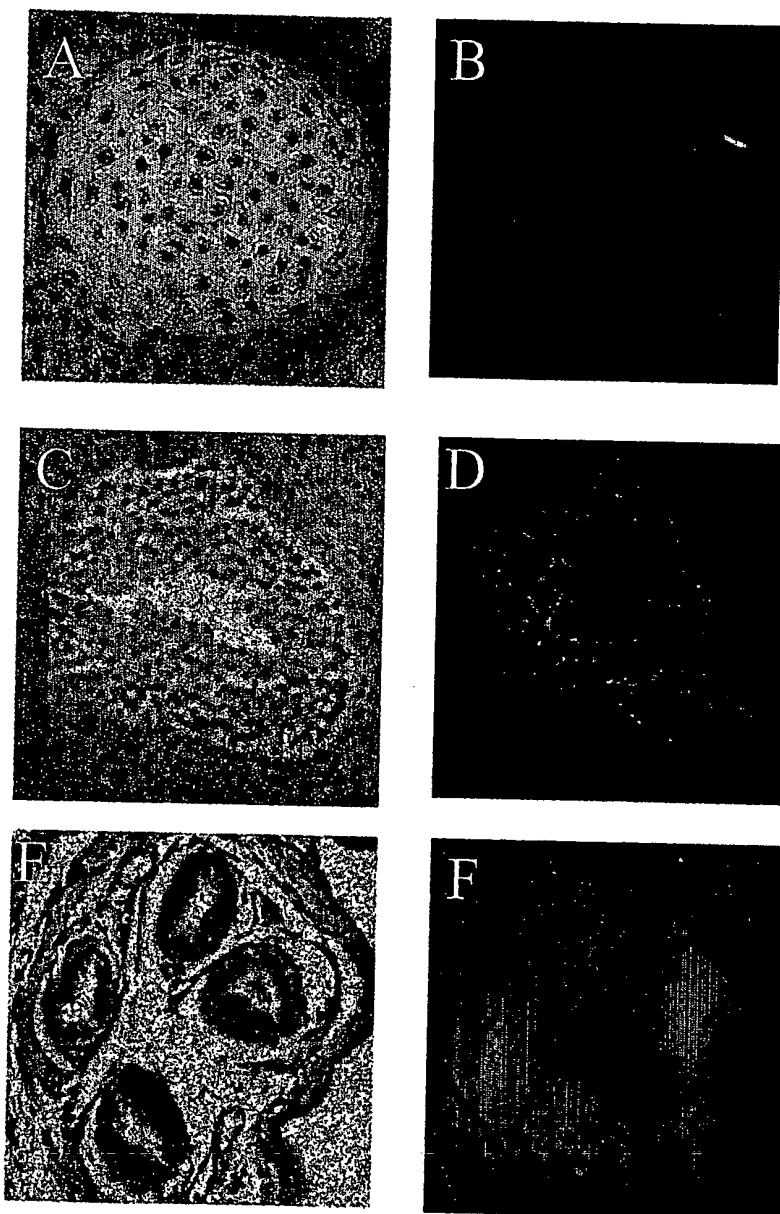
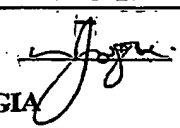


FIGURE 14

INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU02/01758

A. CLASSIFICATION OF SUBJECT MATTER		
Int. Cl. ⁷ : C12N 15/63		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) SEE BELOW		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched SEE BELOW		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) STN (WPIDS/Medline): embryonic stem cell; lentiviral		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,Y	Nature Genetics 25, pp 217-222 (2000) Follenzi et al "Gene transfer by lentiviral vectors is limited by nuclear translocation and rescued by HIV-1 <i>pol</i> sequences"	1-90
X,Y	Blood 96, pp 4103-4110 (2000) Sirven et al "The human immunodeficiency virus-type-1 central DNA flap is a crucial determinant for lentiviral vector nuclear import and gene transduction of human hemapoietic stem cells"	1-90
X,Y	Curr Gene Ther 1, pp 1-17 (May 2001) Hawley RG "Progress toward vector design for hematopoietic stem cell gene therapy"	1-90
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&" document member of the same patent family</p>		
Date of the actual completion of the international search 31 January 2003		Date of mailing of the international search report 11 FEB 2003
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929		Authorized officer  MADHU K. JOGIA Telephone No : (02) 6283 2512

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU02/01758

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,Y	Journal of Virology 74, pp 10778-10784 (2000) Hamaguchi et al "Lentivirus vector gene expression during ES cell-derived hematopoietic development in vitro"	1-90
Y	Cell 101, pp 173-185 (2000) Zennou et al "HIV-1 Genome nuclear import is mediated by a central DNA flap"	1-90
P,X	Proc Natl Acad Sci USA 99, pp 2140-2145 (Feb 2002) Pfeifer et al "Transgenesis by lentiviral vectors: lack of gene silencing in mammalian embryonic stem cells and preimplantation embryos"	1-90
P,X	Molecular Therapy 6, pp 162-168 (August 2002) Asano et al "Highly efficient gene transfer into primate embryonic stem cells with a simian lentivirus vector"	1-90
X	WO 9947660, A (The Salk Institute for Biological Studies) 23.09.99	1-90
P,X	GeneBank Accession No NC_001802 (19 Nov 2002) Chappey C	9, 61
X	GeneBank Accession No J04514 (03 Aug 1993) Girones et al	24, 64
X	GeneBank Accession No J04617 (07 Nov 1994) Uetsuki et al	41,81
P,X	GeneBank Accession No AC006927 (31 Dec 2002) Muzny et al	50,89
X	GeneBank Accession No Z11900 (25 Jun 1997) Takeda et al	88

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU02/01758

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
WO 9947660	AU	31006/99	CA	2324028	EP 1064363
	US	6218181	US	2001009772	
					END OF ANNEX

THIS PAGE BLANK (uspto)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)